

INTRODUCTION TO QUANTUM MECHANICS PRINCIPLES

1ST LECTURE
FROM THE COURSE
QUANTUM PHYSICS OF LOW DIMENSIONAL STRUCTURES

QPLDS

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1. The fundamental quantum quantities
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FUNDAMENTAL QUANTUM QUANTITIES

- 1) A physical state (PS) of a quantum system,
- 2) A physical quantity (PQ) or an observable - to be eventually measured somehow.

POSTULATES OF QUANTUM MECHANICS

- 1) For the every PS there exists a vector of state, sometimes represented by the wave-function Ψ ,
- 2) For every PQ there exists a linear operator \hat{PQ} (this works in the Hilbert space (HS) – HS is a complete, unitary space equipped with the complex scalar product, which can be expressed by $\int \Psi^* \Psi dV$),
- 3) If a measurement of PQ gives a single result, then $f(PQ)$, where f is a function of PQ, also gives a single results (sometimes complex PQ's are derived from direct measurements of some others PQ's and this approach is completely clear-cut),
- 4) Quantum aspect of classical quantities can be achieved by their replacement by appropriate operators followed by the replacement of the classical Poisson bracket by the commutation relation:

$$(A, B) = \sum_k \left(\frac{\partial A}{\partial x_k} \frac{\partial B}{\partial p_k} - \frac{\partial A}{\partial p_k} \frac{\partial B}{\partial x_k} \right) \rightarrow [A_i, B_j] = i\hbar \delta_{ij}$$

- 5) The averaged, and thus measurable, value of PQ can be calculated from the minimized value of the $\int \Psi^* \hat{PQ} \Psi dV$ expression,
- 6) and from 5. results the existence of the equation of state (ES)

$$\boxed{\hat{PQ} \Psi = C \Psi}$$

and possible (averaged) $C = \langle PQ \rangle$ values of the measured PQ.

THE PROOF OF THE POSTULATE No 6

$$1) \int \Psi^* \hat{PQ} \Psi dV = \lambda = \text{const.}, \quad \int \Psi^* \Psi dV = 1$$

2) we look for minimum of $\int \Psi^* \hat{PQ} \Psi dV$, thus for the minimum-valued Ψ function,

$$3) \int \Psi^* \hat{PQ} \Psi dV = \lambda = 1 \cdot \lambda = \lambda \int \Psi^* \Psi dV \Rightarrow \int \Psi^* \hat{PQ} \Psi dV - \lambda \int \Psi^* \Psi dV = 0$$

4) If Ψ is minimized, then there exists $\Psi' = \Psi + \delta\Psi$, $\delta\Psi' = \delta\Psi$ and

$$\int \Psi'^* \hat{PQ} \Psi' dV - \lambda \int \Psi'^* \Psi' dV \neq 0, \quad \int \Psi'^* \Psi' dV \neq 1, \text{ but}$$

$$\delta \left[\int \Psi'^* \hat{PQ} \Psi' dV - \lambda \int \Psi'^* \Psi' dV \right] = 0$$

$$5) \int \delta\Psi'^* \hat{PQ} \Psi' dV + \int \Psi'^* \delta(\hat{PQ} \Psi') dV - \lambda \int \delta\Psi'^* \Psi' dV - \lambda \int \Psi'^* \delta\Psi' dV = 0$$

$$\text{- second integral: } \int \Psi'^* \delta(\hat{PQ} \Psi') dV = \int \Psi'^* \hat{PQ} \delta(\Psi') dV = \int (\hat{PQ} \Psi')^* \delta\Psi' dV$$

because: a) the variation doesn't influence operator

$$\text{b) the } \hat{PQ} \text{ is a hermitian operator } \left(\int u^* \hat{PQ} w dV = \int (\hat{PQ} u)^* w dV \right)$$

$$6) \int \delta\Psi'^* \hat{PQ} \Psi' dV + \int (\hat{PQ} \Psi')^* \delta\Psi' dV - \lambda \int \delta\Psi'^* \Psi' dV - \lambda \int \Psi'^* \delta\Psi' dV = 0$$

7) next, 1st term is combined with the 3rd, and 2nd with the 4th:

$$\int \delta\Psi'^* (\hat{P}Q\Psi' - \lambda\Psi') dV + \int (\hat{P}Q^+\Psi'^* - \lambda\Psi'^*) \delta\Psi' dV = 0$$

and $(\hat{P}Q\Psi' - \lambda\Psi') = 0$
 $(\hat{P}Q^+\Psi'^* - \lambda\Psi'^*) = 0$, thus $\boxed{\hat{P}Q\Psi' = \lambda\Psi'}$, we are quite close to the final

8) the helpful extra derivation. Let's use $\Psi' = \Psi + \delta\Psi$, thus

$$\hat{P}Q(\Psi + \delta\Psi) = \lambda(\Psi + \delta\Psi)$$

$$\hat{P}Q(\Psi) + \hat{P}Q(\delta\Psi) = \lambda\Psi + \lambda(\delta\Psi)$$

$$\hat{P}Q(\Psi) - \lambda\Psi = \lambda(\delta\Psi) - \hat{P}Q(\delta\Psi)$$

9) Thus, right hand side of the above: $\lambda(\delta\Psi) - \hat{P}Q(\delta\Psi)$ should equals

$$\lambda(\delta\Psi) - \hat{P}Q(\delta\Psi) = \lambda(\delta\Psi) - \delta(\hat{P}Q\Psi) = \left| \begin{array}{l} \delta(\lambda\Psi) = \underbrace{(\delta\lambda)\Psi}_{=0} + \lambda\delta\Psi = \\ = \lambda\delta\Psi \end{array} \right| =$$

$$= \delta(\lambda\Psi) - \delta(\hat{P}Q\Psi) = \delta(\lambda\Psi - \hat{P}Q\Psi) = -\delta(\hat{P}Q\Psi - \lambda\Psi)$$

However

$$\boxed{L = -\delta L \Leftrightarrow L = 0}$$

$$\hat{PQ}\Psi = \lambda\Psi$$

$$\left(-\frac{\hbar^2}{2m}\frac{d^2}{dx^2} + V(x)\right)\Psi = E\Psi$$

PURE PS AND MIXED PS

PURE

$|\rangle = \lambda_1 |1\rangle + \lambda_2 |2\rangle$, all the elements in the formula are from the Hilbert space, in other words the vectors represent the PS (physical state vector); the components $|1\rangle, |2\rangle$ and the superposition $|\rangle$.

Again; the mentioned state-vectors $|\rangle$ exist in reality, are REALLY POSSIBLE IN PHYSICAL REALITY

$$\psi = \lambda_1 \phi_1 + \lambda_2 \phi_2$$

$$\psi^* = \lambda_1 \phi_1^* + \lambda_2 \phi_2^*$$

$$\langle | = \lambda_1 \langle 1| + \lambda_2 \langle 2|$$

$$\sqrt{\int \psi^* \psi dV} = 1$$

$$\langle | \rangle = 1$$

however $\psi e^{-i\alpha}$ determined with the restricted accuracy due to the $e^{-i\alpha}$ phase factor,

MIXED

In the mixed state not EVERYTHING is REALLY possible!?



WE GET PROBLEM

I MEAN

WE HAVE TO WAIT...

*before EVERYTHING the STATISTICAL OPERATOR of the
PURE STATE has to be defined*

A STATISTICAL OPERATOR

Question: what we measure in quantum mechanics?

Let assume: we have the observable O and the state-vector $|ps\rangle$,
thus $\langle O \rangle_{ps} = \langle ps | O | ps \rangle$ is the measured, averaged value of the
 O observable being represented by the vector of state $|ps\rangle$.

Next,

$$|ps\rangle = \sum_i |i\rangle \langle i | ps \rangle = |1\rangle \langle 1 | ps \rangle + |2\rangle \langle 2 | ps \rangle = |1\rangle \lambda_1 + |2\rangle \lambda_2,$$

because

$$|ps\rangle = \lambda_1 |1\rangle + \lambda_2 |2\rangle$$

$$\langle 1 | ps \rangle = \lambda_1 \underbrace{\langle 1 | 1 \rangle}_{=1} + \lambda_2 \underbrace{\langle 1 | 2 \rangle}_{=0} \Rightarrow \langle 1 | ps \rangle = \lambda_1, \text{ etc,}$$

$$\langle ps | = \sum_k \langle ps | k \rangle \langle k | = \langle ps | 1 \rangle \langle 1 | + \langle ps | 2 \rangle \langle 2 | = \lambda_1 \langle 1 | + \lambda_2 \langle 2 |$$

$$\begin{aligned} \langle O \rangle_{ps} &= \sum_k \sum_i \langle ps | k \rangle \langle k | O | i \rangle \langle i | ps \rangle = \\ &= \sum_k \sum_i \langle k | O | i \rangle \langle ps | k \rangle \langle i | ps \rangle = \\ &= \sum_k \sum_i \langle k | O | i \rangle \langle i | ps \rangle \langle ps | k \rangle \end{aligned}$$

Let's check again the double-sum term:

$$\begin{aligned} &[\langle ps | 1 \rangle \langle 1 | + \langle ps | 2 \rangle \langle 2 |] O [| 1 \rangle \langle 1 | ps \rangle + | 2 \rangle \langle 2 | ps \rangle] = \\ &\langle ps | 1 \rangle \langle 1 | O | 1 \rangle \langle 1 | ps \rangle + \langle ps | 1 \rangle \langle 1 | O | 2 \rangle \langle 2 | ps \rangle + \\ &+ \langle ps | 2 \rangle \langle 2 | O | 1 \rangle \langle 1 | ps \rangle + \langle ps | 2 \rangle \langle 2 | O | 2 \rangle \langle 2 | ps \rangle = \\ &= \langle 1 | O | 1 \rangle \langle 1 | ps \rangle \langle ps | 1 \rangle + \overbrace{\langle 1 | O | 2 \rangle}^{=0} \langle 2 | ps \rangle \langle ps | 1 \rangle + \\ &+ \overbrace{\langle 2 | O | 1 \rangle}^{=0} \langle 1 | ps \rangle \langle ps | 2 \rangle + \langle 2 | O | 2 \rangle \langle 2 | ps \rangle \langle ps | 2 \rangle = \end{aligned}$$

$$\begin{aligned}
 &= \langle 1 | O | ps \rangle \langle ps | 1 \rangle + \langle 2 | O | ps \rangle \langle ps | 2 \rangle = \\
 &= \sum_k \langle k | O | SO_{ps} | k \rangle = \text{Tr}(O SO_{ps}) = \langle O \rangle_{ps},
 \end{aligned}$$

where $SO_{ps} = | ps \rangle \langle ps |$

is the STATISTICAL OPERATOR OF THE PURE STATE BUILT FROM THE STATE VECTOR $| ps \rangle$,

Remarks:

a) $SO_{ps} = | ps \rangle \langle ps |$ is used in calculation of the average value of the observable $\langle O \rangle_{ps}$,

b) the $\langle O \rangle_{ps}$ is calculated completely precisely, with no $e^{-i\alpha}$ phase factor like in the method using wave-function formalism $\langle O \rangle_{ps} = \langle ps | O | ps \rangle$.

COME BACK
TO
MIXED STATES...

MIXED

A mixed state is composed from pure states and this can be expressed using statistical operators language as follows

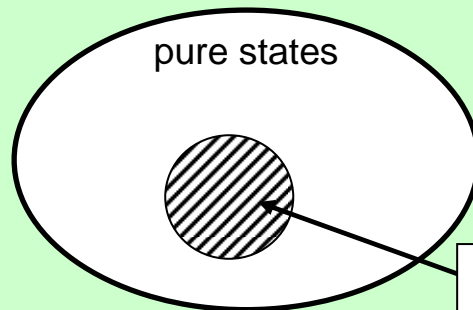
$$SO_{mx} = \sum_k p_k SO_{sp(k)}$$

$$SO_{mx} = \sum_k p_k |ps_k\rangle\langle ps_k|$$

$$\langle O \rangle_{mx} = \sum_k p_k \langle O \rangle_{sp(k)}$$

$$\langle O \rangle_{mx} = \sum_k p_k \text{Tr}(O SO_{sp(k)}) = \text{Tr}(O \sum_k p_k SO_{sp(k)}) = \text{Tr}(O SO_{mx})$$

$$\langle O \rangle_{mx} = \text{Tr}(O SO_{mx})$$



the mixed state: created after tunneling of the two spins through a barrier and determined by the projection onto the well-defined direction (local magnetic field), but the mixture on the pure states is determined by their probabilities

A part of the pure-states set is a mixed state

A PICTURE OF THE TIME EVOLUTION – the first probe
(THE EVOLUTION TO BETTER KNOWLEDGE DUE TO THE
KNOWLEDGE EVOLUTION \mathcal{J})

The evolution operator

$$G(t, t_0)$$

$$|t\rangle \stackrel{def}{=} G(t, t_0) |t_0\rangle \quad \text{and} \quad G^\dagger(t, t_0) |t\rangle = |t_0\rangle$$

↑These are very general formulas↑

The aim is to show how are dependent on time:

- a) a vector of a physical state (PS),
- b) a statistical operator (SO),
- c) an observable (O).

SCHRÖDINGER

The existence of the equation of state (ES) was proved as the extension of the postulate 6.

In the SCHRÖDINGER picture the ES has the following form

$$-\frac{\hbar}{i} \frac{\partial}{\partial t} |t\rangle = H |t\rangle$$

THE STARTING POINT IS THE TIME EVOLUTION OF THE STATE VECTOR $|t\rangle$

- after substitution of $|t\rangle = G(t, t_0) |t_0\rangle$ into the ES:

$$-\frac{\hbar}{i} \frac{\partial}{\partial t} G(t, t_0) = H G(t, t_0), \quad G(t_0, t_0) = I$$

- from that results the solution for $G(t, t_0)$:

$$G(t, t_0) = e^{-iH(t-t_0)/\hbar}$$

$$G^+(t, t_0) = e^{iH(t-t_0)/\hbar} = G^{-1}(t, t_0)$$

$$G(t, t_0)G^{-1}(t, t_0) = I$$

- the SO operator time-behavior:

for the pure state:

$$SO_{sp}(t) = |t\rangle\langle t| = G(t, t_0) |t_0\rangle\langle t_0| G^\dagger(t, t_0) = G(t, t_0) SO_{sp}(t_0) G^\dagger(t, t_0)$$

$$\boxed{SO_{sp}(t) = G(t, t_0) SO_{sp}(t_0) G^\dagger(t, t_0)}$$

for the mixed state:

$$\begin{aligned} SO_{mx}(t) &= \sum_k p_k |t, k\rangle\langle t, k| = \sum_k p_k G(t, t_0) |t_0, k\rangle\langle t_0, k| G^\dagger(t, t_0) = \\ &= G(t, t_0) \sum_k p_k |t_0, k\rangle\langle t_0, k| G^\dagger(t, t_0) = G(t, t_0) \sum_k p_k SO_{sp}(t_0) G^\dagger(t, t_0) \end{aligned}$$

$$\boxed{SO_{mx}(t) = G(t, t_0) SO_{mx}(t_0) G^\dagger(t, t_0)}$$

Thus, after differentiation of the above for $SO_{mx}(t)$

$$-\frac{\hbar}{i} \frac{\partial SO_{mx}(t)}{\partial t} = -\frac{\hbar}{i} \frac{\partial G}{\partial t} SO_{mx}(t_0) G^\dagger - \frac{\hbar}{i} G SO_{mx}(t_0) \frac{\partial G^\dagger}{\partial t} = H G SO_{mx}(t_0) G^\dagger - G SO_{mx}(t_0) G^\dagger H$$

then

$$\boxed{-\frac{\hbar}{i} \frac{\partial SO_{mx}(t)}{\partial t} = [H, SO_{mx}(t)]}, \text{ and } \boxed{\frac{\partial H}{\partial t} \equiv 0}$$

SCHRÖDINGER summarizes:

the PS evolves in time $|t\rangle = G(t, t_0) |t_0\rangle$

the SO evolves in time $-\frac{\hbar}{i} \frac{\partial SO_{mx}(t)}{\partial t} = [H, SO_{mx}(t)]$

and the O does not evolve in time $\frac{\partial O}{\partial t} \equiv 0$

A PICTURE OF THE TIME EVOLUTION – the second probe
 THE STATISTICAL OPERATOR EVOLUTION – some derivations

$$1) \langle O \rangle_{mx} = \text{Tr}(O S O_{mx})$$

$$2) S O_{mx}(t) = G(t, t_0) S O_{mx}(t_0) G^+(t, t_0)$$

3) (2)→(1):

$$\langle O \rangle_{mx} = \text{Tr}[O S O_{mx}(t)] = \text{Tr}[O G(t, t_0) S O_{mx}(t_0) G^+(t, t_0)]$$

HEISENBERG

Something new; a transformation of the observable O into the Heisenberg picture O_H :

$$O_H \stackrel{def}{=} G^+(t, t_0) O G(t, t_0)$$

From this results, for any arbitrary observable O , that

$O = O_H(t_0)$, especially $H = H_H(t_0)$ as $G^+(t_0, t_0) = G(t_0, t_0) = 1$.

and again, something new; a transformation of the state vector $|t\rangle$ into the Heisenberg picture $|t\rangle_H$

$$\boxed{|t\rangle_H \stackrel{def}{=} G^+ |t\rangle}$$

There is a subtle difference between the Heisenberg-like behavior for the statistical operator (SO) and the state-vector $|t\rangle$ "at the beginning", for t_0 , namely

$$|t\rangle_H = |t_0\rangle \quad \underline{\text{and}} \quad O_H(t_0) = O$$

what reads: only at the beginning the Schrödinger state vector equals the Heisenberg state-vector, and, only at the beginning the Heisenberg observable equals the observable from the Schrödinger point of view.

HOWEVER, from the above results that, in the Heisenberg picture:

- a) a state vector doesn't evolve in time,
- b) a statistical operator doesn't evolve in time,
- c) an observable evolves in time.

Ad. a - $|t\rangle_H = |t_0\rangle$?!

$$|t\rangle_H = G^+ G |t_0\rangle = |t_0\rangle, \text{ here you are.}$$

Ad. b - $SO_H(t)$?!

$$SO_H(t) = G^+ SO_{mx}(t) G = G^+ G SO_{mx}(t_0) G^+ G = SO_{mx}(t_0), \text{ that's it.}$$

Ad. c - $O_H(t)$?!

well...

As we know $O_H \stackrel{def}{=} G^+(t, t_0) O G(t, t_0) = G^+ O G$,

then, after differentiation of the above and taking advantage from

$$G = e^{-iH(t-t_0)/\hbar}$$

we have

$$-\frac{\hbar}{i} \frac{\partial O_H(t)}{\partial t} = -\frac{\hbar}{i} \frac{\partial G^+}{\partial t} O G^+ - \frac{\hbar}{i} G^+ O \frac{\partial G}{\partial t} = G^+ O G H - H G^+ O G = O_H H - H O_H$$

thus

$$\boxed{-\frac{\hbar}{i} \frac{\partial O_H(t)}{\partial t} = [O_H(t), H]}$$

now HEISENBERG summarizes:

the PS_H doesn't evolve in time $\frac{\partial |t\rangle_H}{\partial t} \equiv 0$

the SO_H doesn't evolve in time $\frac{\partial |SO\rangle_H}{\partial t} \equiv 0$

and the O_H evolves in time $-\frac{\hbar}{i} \frac{\partial O_H(t)}{\partial t} = [O_H(t), H]$

A PICTURE OF THE TIME EVOLUTION – the third probe

TOMONAGA

THE STARTING POINT IS THE SPLIT OF THE HAMILTONIAN INTO THE 2 PIECES;

- a) that of the free particles or free physical fields,
- b) that of the interaction between the above.

$$H = H^{(0)} + H^{(1)}$$

The free evolution operator

$$G_0 = e^{-iH^{(0)}(t-t_0)/\hbar}$$

The evolution of the state vector

$$|t\rangle_T \stackrel{def}{=} G_0^+ |t\rangle$$

while $|t_0\rangle_T = |t_0\rangle$.

Thus

$$\frac{\partial |t\rangle_T}{\partial t} = -\frac{\hbar}{i} G_0^+ H^{(1)} G |t\rangle_T,$$

or

$$-\frac{\hbar}{i} \frac{\partial |t\rangle_T}{\partial t} = H_1 |t\rangle_T,$$

where $H_1 = G_0^+ H^{(1)} G_0$

The modified evolution operator of Tomonaga G_T

$$|t\rangle_T = G_0^+ |t\rangle = G_0^+ G |t_0\rangle = G_0^+ G |t_0\rangle_T = G_T |t_0\rangle_T,$$

$$\boxed{G_T \stackrel{def}{=} G_0^+ G = e^{-iH^{(1)}(t-t_0)/\hbar}}$$

After substitution of $|t\rangle_T = G_T |t_0\rangle_H$ into the Tomonaga evolution

equation $-\frac{\hbar}{i} \frac{\partial}{\partial t} |t\rangle_T = H_1 |t\rangle_T$ we have

$$-\frac{\hbar}{i} \frac{\partial SO_T(t)}{\partial t} = -\frac{\hbar}{i} \frac{\partial G_0^+}{\partial t} SO G_0 - \frac{\hbar}{i} G_0^+ \frac{\partial SO}{\partial t} G_0 - \frac{\hbar}{i} G_0^+ SO \frac{\partial G_0}{\partial t}$$

and finally,

$$\boxed{-\frac{\hbar}{i} \frac{\partial SO_T(t)}{\partial t} = [H_1(t), SO_T(t)]}$$

and

$$\boxed{-\frac{\hbar}{i} \frac{\partial O_T(t)}{\partial t} = [O_T(t_0), H^{(0)}]}$$

now TOMONAGA summarizes:

the PS_T evolves in time

$$-\frac{\hbar}{i} \frac{\partial |t\rangle_T}{\partial t} = H_1 |t\rangle_T$$

the SO_T evolves in time

$$-\frac{\hbar}{i} \frac{\partial SO_T(t)}{\partial t} = [H_1(t), SO_T(t)]$$

and the O_T evolves in time

$$-\frac{\hbar}{i} \frac{\partial O_T(t)}{\partial t} = [O_T(t_0), H^{(0)}]$$

EVERYBODY SUMMARIZE ABOUT THE EVOLUTION

Picture	PS	SO	O
Schrödinger	yes	yes	no
Heisenberg	no	no	yes
Tomonaga	yes	yes	yes

HOWEVER

$$\langle O \rangle_{mx} = \langle O \rangle_H = \langle O \rangle_T$$

What is accessible in experimental reality is the same